



FORM PTO-1449	SERIAL NO. 09/814,436	CASE NO. 6270/60
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE March 22, 2001	GROUP ART UNIT 2857
(use several sheets if necessary)	APPLICANT(S): Blackett et al.	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
POV	E1	6,301,527	10/09/01	Butland et al.	700/286	09/24/99
	E2	6,115,393	09/05/00	Engel et al.	370/469	07/21/95
	E3	US2002/0120723 A1	08/29/02	Forth et al.	709/221	02/23/01
	E4	US2002/0162014 A1	10/31/02	Przydatek et al.	713/200	08/15/01
POV	E5	US2002/0165677 A1	11/07/02	Lightbody et al.	702/62	08/15/01

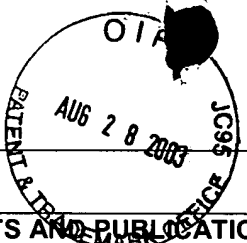
## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	
POV	E6	Questra Applications Summary Data Sheet, pp. 1-2, 07/02/02.
	E7	Tridium™ Vykon™ Energy "JACE-401™" Product Data Sheet, pp. 1-2, 09/16/02.
	E8	Tridium™ Vykon™ Energy "Vykon Energy Profiler" Product Data Sheet, pp. 1-4, 10/16/01.
	E9	Schneider Electric Square D "Web-Enabled Power Management Solutions" brochure, pp. 1-8, January 2002
	E10	Tridium™ Vykon™ Building "Vykon™ Alarm Service" Product Data Sheet, pp. 1-2, 06/20/02.
	E11	Tridium™ Vykon™ Building "JACE-512™" Product Data Sheet, pp. 1-2, 05/15/02.
	E12	Tridium™ Vykon™ Building "JACE-511™" Product Data Sheet, pp. 1-2, 05/28/02.
	E13	Vykon™ By Tridium "JACE-NP-1®" Product Data Sheet, pp. 1-2, 05/28/02.
	E14	Vykon™ By Tridium "WorkPlace Pro™" Product Data Sheet, pp. 1-2, 01/12/01.
	E15	Vykon™ Building "Web Supervisor™" Product Data Sheet, pp. 1-2, 11/30/01.
	E16	A8800-1 AcquiSuite Data Acquisition System specification, pp. 1-2, 09/24/01.
	E17	LiveData® Real-Time Data Management For Energy and Utility Companies brochure, pp. 1-4, ©copyright 2002, Rev. 01/2002.
	E18	Tridium™ Vykon™ Energy "With the Right Tools Energy Costs are Controllable..." brochure, pp. 1-8, 11/01/01.
	E19	EnergyView™ "Energy Aggregation & Information System (EAS) for Monitoring and Analysis of Electric and Gas Demand", selected pages from an EnergyView website <a href="http://66.64.38.69/energyview">http://66.64.38.69/energyview</a> , pp. 1-4, 10/30/01.
POV	E20	Power Monitoring home page, obtained from <a href="http://www.parijat.com/Power_Monitoring.htm">http://www.parijat.com/Power_Monitoring.htm</a> , 10/09/02, one page,

EXAMINER <i>Patricia</i>	DATE CONSIDERED <i>6/25/02</i>
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/SUBCLASS	FILING DATE
POA	F1	2002/0116550 A1	08/22/02	Hansen	709/330	04/17/02

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
POA	F2	Connect One "Automatic Meter Reading via the Internet", pp. 1-2. July 11, 2001
POA	F3	iModem™ "The Fastest Way to Internet-Enable any Device", pp. 1-3. July 11, 2001
POA	F4	ConnectOne™ Connecting your Device to the Internet™ iChip™ The Internet in your palm™, pp. 1-6. July 11, 2001.
POA	F5	Engage Networks Inc. "D-Gen" Distributed Generation Management brochure, pp. 1-4. Link present as of Dec 2, 1998 according to web archive at <a href="http://web.archive.org/web/19981205200934/www.engagenet.com/products.htm">http://web.archive.org/web/19981205200934/www.engagenet.com/products.htm</a>
POA	F6	Engage Networks Inc. "Internet Protocol Card for Revenue Meters" brochure, pp. 1-2. Link present as of Mar 3, 2000 on <a href="http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml">http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml</a> . Original document archived at <a href="http://web.archive.org/web/20030520161648/http://www.engagenet.com/datasheets/ipcard.pdf">http://web.archive.org/web/20030520161648/http://www.engagenet.com/datasheets/ipcard.pdf</a> on May 20, 2003.
POA	F7	Engage Networks Inc. "AEM" (Active Energy Management) brochure, pp. 1-2. Link present as of Mar 3, 2000 on <a href="http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml">http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml</a> . Original document archived at <a href="http://web.archive.org/web/20030520161850/http://www.engagenet.com/datasheets/aem.pdf">http://web.archive.org/web/20030520161850/http://www.engagenet.com/datasheets/aem.pdf</a>
POA	F8	Year 2000 Rediness Disclosure Arcom Control Systems, "Apex", obtained at internet address <a href="http://www.arcomcontrols.com/products/pcp/pcp10.htm">http://www.arcomcontrols.com/products/pcp/pcp10.htm</a> . Document archived at <a href="http://web.archive.org/web/20000530063150/www.arcomcontrols.com/products/pcp/pcp10.htm">http://web.archive.org/web/20000530063150/www.arcomcontrols.com/products/pcp/pcp10.htm</a> on May 30, 2000.
POA	F9	Dranetz BMI, Signature System™ "Information, Knowledge, Power" brochure. Applicants believe this reference was published prior to January 9, 2003.
POA	F10	Questa A2B.Platform™ brochure, "Enabling conversation through universal connectivity", pp. 1-4, located at <a href="http://www.questra.com/h1_products/Questa_A2Bplatform">http://www.questra.com/h1_products/Questa_A2Bplatform</a> . Applicants believe this reference was published prior to January 9, 2003.


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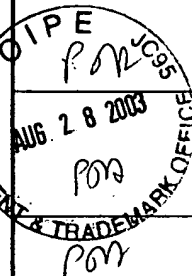
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EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
POB	F11	Questa A2B.Sales™ brochure, "Conversation to help you win", pp. 1-2, located at <a href="http://www.questra.com/h1_products/Questa_A2Bsales">http://www.questra.com/h1_products/Questa_A2Bsales</a> . Applicants believe this reference was published prior to January 9, 2003.
<del>X</del>	F12	"Email relating to the general technology of the application received from a competitor", from Erich W. Gunther [mailto:erich@electrotek.com] to Brad Forth@pml.com sent 5/9/01 3:36pm, pp. 1-2.
POB	F13	webGate™ IRIS Technology, products brochure pp. 1-9. Applicants believe this reference was published prior to January 9, 2003.
POB	F14	WebGate™ IRIS™ "Internet Residential Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See <a href="http://www.platts.com/infotech/issues/0011/eittic0011.shtml">http://www.platts.com/infotech/issues/0011/eittic0011.shtml</a> for this reference - search for mUNET.com.
POB	F15	WebGate™ ICIS™ "Internet Commercial Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See <a href="http://www.platts.com/infotech/issues/0011/eittic0011.shtml">http://www.platts.com/infotech/issues/0011/eittic0011.shtml</a> for this reference - search for mUNET.com.
POB	F16	F. Momal, C. Pinto-Pereira, "Using World-Wide-Web for Control Systems" Abstract AT Division CERN, 1211 Geneva 23, 6 pages. Published 1995.
POB	F17	Distributed.Net, <a href="http://n0cgi.distributed.net/faq/cache/178.html">http://n0cgi.distributed.net/faq/cache/178.html</a> , printed June/10/2003. Link archived at <a href="http://web.archive.org/web/20000901052607/n0cgi.distributed.net/faq/cache/178.html">http://web.archive.org/web/20000901052607/n0cgi.distributed.net/faq/cache/178.html</a> , on: Sept 01, /2000.
POB	F18	Questa, Security overview, obtained <a href="http://www.questra.com/products/security.asp">http://www.questra.com/products/security.asp</a> , printed June 10, 2003. 2 pages. Applicants believe this reference was published prior to January 9, 2003.
POB	F19	Questa Mastering Smart Security brochure, 2 pages Copyright 2002 Questa Corporation, obtained <a href="http://www.questra.com/collateral/collateral_files/SecurityOverview.pdf">http://www.questra.com/collateral/collateral_files/SecurityOverview.pdf</a> , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.
POB	F20	Questa Total Access brochure, 2 pages, Copyright 2003 Questa Corporation, obtained <a href="http://www.questra.com/collateral/collateral_files/TotalAccess.pdf">http://www.questra.com/collateral/collateral_files/TotalAccess.pdf</a> , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.
POB	F21	Axeda DRM System Overview, 2 pages, obtained <a href="http://www.axeda.com/solutions/overview.html">http://www.axeda.com/solutions/overview.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
POB	F22	Axeda Access, 3 pages, obtained <a href="http://www.axeda.com/solutions/portals/portal_access.html">http://www.axeda.com/solutions/portals/portal_access.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
POB	F23	Axeda DRM Technology Overview, 1 page, obtained <a href="http://www.axeda.com/solutions/tech_challenges/firewallfriendly.html">http://www.axeda.com/solutions/tech_challenges/firewallfriendly.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
POB	F24	Axeda DRM Technology Overview, 1 page, obtained <a href="http://www.axeda.com/solutions/tech_challenges/index.html">http://www.axeda.com/solutions/tech_challenges/index.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.

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	F25	Axeda DRM Technology Overview, 1 page, obtained <a href="http://www.axeda.com/solutions/tech_challenges/standards.html">http://www.axeda.com/solutions/tech_challenges/standards.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	F26	Axeda Solutions for Industry, 1 page, obtained <a href="http://www.axeda.com/solutions/industries/industrial.html">http://www.axeda.com/solutions/industries/industrial.html</a> , printed June 9, 2003. Archived at <a href="http://web.archive.org/web/20020221165907/http://axeda.com/industries/industrial.html">http://web.archive.org/web/20020221165907/http://axeda.com/industries/industrial.html</a> on Feb 21, 2002.
	F27	Axeda Agents, 2 pages, obtained <a href="http://www.axeda.com/solutions/device_servers/index.html">http://www.axeda.com/solutions/device_servers/index.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	F28	Axeda Case Studies, "Axeda Prevents Power Failures at a Leading Microprocessor Plant in Israel", 2 pages, obtained <a href="http://www.axeda.com/industries/casestudies_microprocessor.html">http://www.axeda.com/industries/casestudies_microprocessor.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.

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